

Dr. K.N. Modi University, Ph.D. Ordinance-2018



Dr. K.N. Modi University, Newai, Rajasthan

(Established by Govt. of Rajasthan and Recognized by UGC under section 2(f) of UGC Act. 8, 2010 on April 19, 2010)

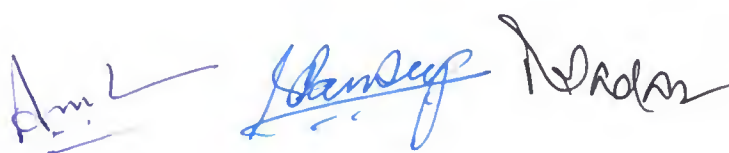
INS-1, RIICO Industrial Area, Phase-II
Newai, District Tonk, Rajasthan-304021, India

Amal *Handwritten* *Ordinance*

Ordinance: Regulations of M.Phil and Ph.D. admissions
References: UGC (Minimum Standards and Procedure for the award of Master & Doctor of Philosophy Degree) Regulation 2016, Notification 05/05/2016. Here with the University has accepted the UGC Regulation as cited above for admission to M. Phil/Ph.D. program and/or its updating on later dates.

Program Objectives (POs)

1. To provide research training at Master's and Doctoral levels in scientific methods related to a field of education for meeting out challenges at fronts of knowledge in the field.
2. To work out solutions of problems that need advancement of knowledge in an academic, technology, engineering, environment, industry or a new challenge in any unforeseen area where society has stressed need of advance study.
3. To publish the results in scientific journals preferably identified periodicals and journals having wide circulations as per UGC norms. This is to enhance Index of the University, Programs and Individual Researcher.
4. To provide academic means of exchange of ideas, knowledge and cooperation for tendering the rigid walls between societies, businesses and managements.
5. To identify the University in pursuit of advance and balanced thinking at both national and international level,
6. To promote and enhance scientific - knowledge - base of the global society including the local needs. New means may be searched or worked out to convert the available past knowledge for attaining a scientific base without changing the text of the old. Similarly, old time methods of harvesting water or any such eco-system and other similar resources to be analyzed. Its vital component may be promoted after its passing through the modern scientific perspective and utility. Conversely, the non-vital part may also be identified for the general awareness and avoidance.
7. To provide a scientific base to the industrial set up in the vicinity and even globally for utilization of resources and even the draining of disposals using the updated know how.
8. Any Ph.D. thesis has to declare one or more PO of this regulation amongst its objectives while preparing summary and outcome of a thesis at the time of submission.



The Ph.D. degree awarded by Dr. K.N. Modi University shall be designated as Doctor of Philosophy. The title of the thesis shall be indicated in the certificate.

PRIMARY PROCESS AND GUIDELINES

1. Eligibility criteria for admission to M.Phil. programme

- 1.1. Candidates for admission to the M.Phil. programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- 1.2. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled and other categories of candidates as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

2. Eligibility criteria for admission to Ph.D. programme

Subject to the conditions stipulated in these Regulations, the following persons are eligible to seek admission to the Ph.D. programme:

- 2.1. Master's Degree holders satisfying the criteria stipulated under Clause 1.1 above.
- 2.2. Candidates who have cleared the M.Phil. Degree Course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) and successfully completing the M.Phil. Degree shall be eligible to proceed to do research work leading to the Ph. D. Degree in the University in an integrated programme. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to



SC/ST/OBC (non-creamy layer)/differently-abled and other categories of candidates as per the decision of the Commission from time to time.

- 2.3. A person whose M.Phil. Dissertation has been evaluated and the viva voce is pending may be admitted to the Ph.D. programme of the University.
- 2.4. Candidates possessing a Degree considered equivalent to M.Phil. Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme.
- 2.5. Any other modification in eligibility criteria will be considered, if recommended by the Board of Advance Studies & Research to the Academic Council, of Dr K N Modi University time to time.

3. Admission Procedure

- 3.1. Dr. K.N. Modi University shall notify well in advance on the University website and through advertisement in at least two National News Papers, the number of seats for admission, subject/discipline wise distribution of available. University is required to conduct Research Aptitude Test, which shall be of 100 marks, a minimum score of 50% shall be required to clear the test and eligible candidate has to present himself/herself for an interview (Skype interview may be arranged) before the Committee as an intimated by the University, as per schedule.
- 3.2. University shall adhere to the national/State-level reservation policy, as applicable.
- 3.3. Whenever University faculty members have research grants, with provision for selecting the research students, the faculty member holding the grant will select the candidates based on the guidelines of funding agency and of Dr. K.N. Modi University.
- 3.4. Dr. K.N. Modi University employees who seek admission to Ph.D. program must submit their application through their Head of Institution and follow the procedure as laid down for general applicants.
- 3.5. Research Scholars sponsored by funding agencies must submit their application along with a synopsis of the project and details of funding.



- 3.6. Candidates applying under University approved scholarship/fellowship programs must submit a copy of their scholarship/fellowship approval along with their application.
- 3.7. Candidates intending to carry out research in collaborative research centres must submit a no objection letter from the appropriate authority of such centre. The applications must be forwarded by the research centres.
- 3.8. Application form can be downloaded from www.dknmu.org, through its research icon. Fill the form and attach the required testimonials and enclose Research Proposal at least of two pages along with the List of required documents.
- 3.9. The complete application form along with demand draft for Rs. 1500/- in favour of "Dr K N Modi University" payable at Newai, District Tonk, Rajasthan and send the same to, The Registrar, Dr. K.N. Modi University, INS-1, RIICO Industrial Area Phase II, Newai-304021, District Tonk, Rajasthan, India.
- 3.10. After the Registration (successful completion of course work), Ph.D. Scholar shall undertake research work and produce a draft thesis within a stipulated time. A candidate fails to do the same within maximum time his/her admission will be cancelled by the Dr. K.N. Modi University, and no application/request is acceptable for fee refund. After the completion of three years, candidate will submit the application and get the permission by the President. President will give the time to submit within date. The duration of the Ph. D Program shall be calculated from the date of Registration till the submission of Thesis as per the details given below:

Program	Duration	
	Minimum	Maximum
Full time	3 Years	5 Years
Part Time	3 Years	6 Years

4. Categories of Candidates for Admission to Ph.D. Programme

- 4.1. **Full-time candidates:** A Full Time candidate shall have to remain in the University for full time during working hours of the University for complete duration of course work and for minimum prescribed duration of research. Such candidates are eligible for applying to receive assistantship for the University or fellowship from CSIR/UGC or any other funding agency to cover his/her living expenses and expenditure on his/her research work.




4.2. **Part-time candidates:** A Part Time Candidate shall have to complete the one semester course work of the University as per the UGC guidelines 2016. The part time candidates shall have to work in the University recognized research Lab/Organization/Institution for his/her research work and shall be submitting all reports to University as stipulated.

5. **Allocation of Research Supervisor/Co-Supervisor**

Eligibility criteria to be a Research Supervisor, Co- Supervisor, Number of M.Phil./Ph.D. scholars permissible per Supervisor is as under-

- 5.1. A regular/full time Professor of the Dr. K.N. Modi University with at least five research publications in reputed journals and any regular Associate/Assistant Professor of the University with Ph.D. degree and at least two research publications in reputed journals may be recognized as Research Supervisor. Provided that in areas/disciplines where there is no or only a limited number of journals, the Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- 5.2. Only a full time regular faculty of the University can act as a Supervisor. The external Supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same University/Institute or from other related Institutions with the approval of the Board of Advanced Study and Research (BASR).
- 5.3. The allocation of Research Supervisor for a selected research scholar shall be decided by the Department concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of Interview.
- 5.4. In case of topics which are of inter-disciplinary nature where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/ Faculty on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges.
- 5.5. A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than three (3) M.Phil. and Eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of two (2) M.Phil. and six



(6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of one (1) M.Phil. and four (4) Ph.D. scholars.

6. **Course Work**

Credit requirements, number, duration, syllabus and minimum standards for completion of Ph.D. are as under-

- 6.1. The course work shall be treated as prerequisite for M.Phil./Ph.D. preparation. A minimum of four credits shall be assigned to each on Computer Applications in Research and Development, Research Methodology & Quantitative Techniques, Advanced Course (Department/Subject Specific), and Review of Literature/Presentations of published research in the relevant field, training, field work, etc. All courses prescribed for M.Phil./Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the authorized academic bodies.

Paper	Title	Credits	Paper Code
01	Computer Applications in Research and Development	04	01PHD101
02	Research Methodologies and Quantitative Techniques	04	01PHD102
03	Advanced Course (Department/Subject Specific)	04	01PHD103
04	Review of Literature/Presentations	04	01PHD104

- 6.2. All candidates admitted to the M.Phil./Ph.D. Programmes shall be required to complete the course work prescribed by the University, during one two semester and ensure 75% attendance.
- 6.3. Candidates already holding M. Phil. degree and admitted to the Ph.D. Programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in integrated course, may be exempted by the Department from the Ph.D. course work. All other candidates admitted to the Ph.D. Programme shall be required to complete the Ph.D. course work prescribed by the Department.
- 6.4. Grades in the course work, including research methodology courses shall be finalized after a combined assessment by the BASR and the Department and the final grades shall be communicated to the University.



7. **Board of Advance Studies and Research (BASR) and its functions**

There is Board of Advance Studies and Research, for each M.Phil. and Ph.D. scholar in Dr. K.N. Modi University. The committee constitutes the following members-

- (a) President/Vice-Chancellor of the University (Chairman).
- (b) BASR members.
- (c) Registrar or Dy. Registrar or COE/Dy. COE.
- (d) The research Supervisor of the scholar.

The committee have the following responsibilities:

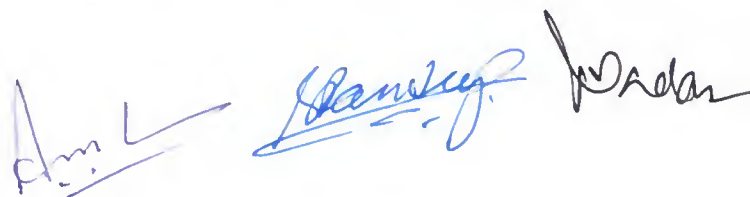
- 7.1. To review the research proposal and finalize the topic of research.
- 7.2. To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
- 7.3. To periodically review and assist in the progress of the research work of the research scholar.
- 7.4. A research scholar shall appear before the BASR once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Board of Advance Studies and Research to the University, with a copy to the research scholar.
- 7.5. In case the progress of the research scholar is unsatisfactory, the Board of Advance Studies and Research record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Board of Advance Studies and Research may recommend to the University with specific reasons for cancellation of the registration of the research scholar.

When a final report is submitted after holding final defence of the candidate, it will be produced before the Hon'ble President of the University in BASR followed by academic council. After approval of the thesis the University can provide a Ph.D. notification and then 'provisional certificate' to the student pending approval of the Academic Council of the University. Final Ph.D. degree will be given in the Convocation of the University which is held annually. In case of any long delay of the Convocation, provision of the award of the degree can be made on getting special permission of the Hon'ble President of the University. All such proceedings will be conducted by the Research Section.



8. **Evaluation and Assessment Methods, Minimum Standards for Award of the Degree**

- 8.1. Upon satisfactory completion of course work, and obtaining the marks/grade prescribed, as the case may be, the M.Phil./Ph.D. scholar shall be required to undertake research work and produce a draft dissertation/thesis within a reasonable time, as stipulated by the Institution concerned based on these Regulations.
- 8.2. Prior to the submission of the dissertation/thesis, the scholar shall make a pre-submission presentation in the Department before the BASR meeting which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the BASR.
- 8.3. Ph.D. scholars must publish at least one research paper in reputed journal and make two paper presentations in conferences/seminars before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.
- 8.4. The Academic Council shall have mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the dissertation/thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution. The acceptable limit of Plagiarism is 20%.
- 8.5. The Ph.D. thesis submitted by a research scholar shall be evaluated by at least two external examiners from the Universities/Institutes of National and International repute from different States. There must be list of 10 examiners with their complete addresses, with telephonic contacts and E. Mail. ID. The list of examiners has to be prepared after checking properly their expertise in the research area of the theme title of the thesis. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the BASR, all faculty members of the Department, other research scholars and other interested experts/researchers.



8.6. The public viva-voce of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the dissertation/thesis is/are satisfactory and include a specific recommendation for conducting the viva-voce examination. If the evaluation report of the external examiner in case of Ph.D. Thesis is unsatisfactory and does not recommend viva-voce, the Institution shall send the dissertation/thesis to another external examiner out of the approved panel of examiners and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the dissertation/thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.

9. **Depository with INFLIBNET**

9.1. Following the successful completion of the evaluation process and before the announcement of the award of the M.Phil./Ph.D. degree(s), the University shall submit an electronic copy of the M.Phil. Dissertation/Ph.D. thesis to the INFLIBNET, for make it accessible to all Institutions/Colleges.

9.2. Prior to the actual award of the degree, the University shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of these UGC Regulations, 2016.

SECONDARY PROCESS AND GUIDELINES

1. After approval from BASR, the research aspirant may be permitted for provisional registration as a "Ph.D. RESEARCH SCHOLAR of Dr. K.N. Modi University" and he/she needs to the deposit first instalment of prescribed fee. The candidate has to pay the prescribed fee to the Dr. K.N. Modi University through DD/Bank Challans of ICICI Bank, Newai or any other nationalized bank payable at Newai, Distt. Tonk, Rajasthan. The internal categories of candidates from, Dr. K.N. Modi University or it's 'associate institutions will be required to execute service agreement to serve the Dr K N Modi University or any of the associate institution at least for a period of two years after the award of the degree, as per the terms and policy as, may be determined time to time.
2. The subject-wise course-work classes will be arranged by University and minimum 144 hours attendance is mandatory. Before commencement of classes, research scholar shall submit his/her synopsis with second instalment of first year fee.

Am. L. *[Signature]* *Nordar*

The details of fee structure are given in the table-

Ph.D. *Fee Structure Year 2018			
Details	1st Year in INR	2nd Year in INR	3rd Year in INR
Entrance Fee	1,500/-	-	-
Registration Fee	10,000/-	-	-
Course Work Fee	90,000/-	-	-
Synopsis Submission Fee	10,000/-	-	-
Academic Fees	-	60,000/-	60,000/-
Pre-Viva Fee	-	-	10,000/-
Final Viva Fee	-	-	10,000/-
Convocation Fee	-	-	10,000/-
Total	1,11,500/-	60,000/-	90,000/-
Grand Total		2,61,500/-	

*Note: Fees once deposited not refunded.

3. The candidate shall submit the NOC from appropriate authority, if he/she is serving in Government sector/Semi Government Sector/PSU.
4. The research scholar shall submit the research execution/facility certificate issued by competent authority, if he/she is availing research laboratories/facilities other than in University campus.
5. The candidate shall submit the six monthly progress report of the research work. The Doctoral Committee will arrange for the presentation of the same in consultation with the Head of the Department and Guide. The candidate has to present the progress of the research to the Doctoral Committee on the prescribed date.
6. The candidate shall submit summary of work before submitting the Thesis. He/she can submit summary after 30 Month from the date of Permanent Registration.
7. Subject to the approval of the summary by the Department Research Committee the research scholar may be permitted to submit the thesis with the recommendation of the guide.
8. During the course or after completion of the Ph.D. degree if anyone found guilty of misappropriation or any other such incident comes to the notice regarding non fulfilment of eligibility conditions of candidate, the university has right to cancel the registration or degree from primary stage. University is not responsible for any of the delay for completing the formalities, including thesis submission, by the research scholar.

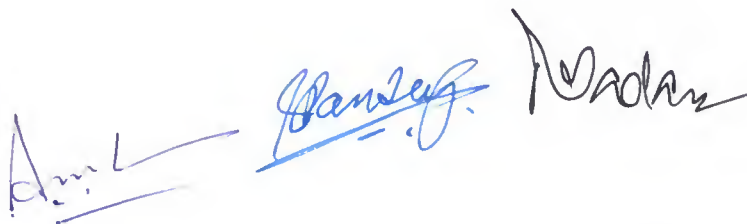


9. Cancellation of Registration

- 9.1. Registration may be cancelled on the recommendation of the Doctoral Committee based on the lack of progress, as requested by the supervisor, and also after giving due opportunities to the candidate for defending his/her case.
- 9.2. Registration may be cancelled if the candidate fails to submit the thesis before the maximum stipulated period.
- 9.3. If being an internal and full time research scholar, he absents himself for a continuous period of six weeks without prior intimation/sanction of leave.
- 9.4. If he withdraws from the Ph.D. program and the withdrawal is duly recommended by the BASR.
- 9.5. If his/her academic progress is found unsatisfactory.
- 9.6. If he/she is found to be involved in act of misconduct and/or indiscipline and termination is recommended by the School/Dept. Research Committee or any other authority so authorised by the Academic Council.
- 9.7. If the candidate fails to pay outstanding dues for more than three months from the due date.

10. Publication of Thesis

- 10.1. The Thesis shall not be published without the approval of the University.
- 10.2. The University may grant permission for the publication under such conditions as it may impose.

The image shows three handwritten signatures in blue ink. The first signature on the left is 'Amal', the middle one is 'Sanjay', and the one on the right is 'Nadim'. Each signature is written in a cursive style.